

PATENT

Attorney Docket No. 24717-708

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on September 25, 2002.

Donna L. Hengst Donna L. Hengst

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--|---|---------------------------|
| In re Application |) | <u>PATENT APPLICATION</u> |
| Inventor(s): Omar C. Baldonado et al. |) | |
| Application No.: 09/960,623 |) | Art Unit: 2663 |
| Filed: 09/20/2001 |) | Examiner: Unassigned |
| Title: Method And Apparatus For Coordinating |) | |
| Routing Parameters Via A Back-Channel |) | |
| Communication Medium |) | |

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. § 1.53, subsection (b) because (check all that apply):

☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)

-- OR --

☐ (2) It is being filed within 3 months of entry of a national stage

-- OR --

☒ (3) It is being filed before the mail date of the first Office Action on the merits

-- OR --

☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:

☐ a certification as specified in § 1.97(e) is provided below; or

☐ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee, then:

A. a certification as specified in § 1.97(e) is completed below; and

B. a petition under 37 C.F.R. § 1.97(d) requesting consideration of this statement is submitted herewith; and

C. a fee of \$130.00 as set forth in § 1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

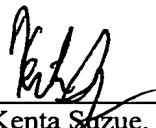
☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$0 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 24717-708).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: September 25, 2002

By:


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|---|--------------|----------|-------------------------------------|-----------------|--------------------------|--------------------------|--------------------------|
| INFORMATION DISCLOSURE CITATION PTO-1449 | | | ATTY. DOCKET NO. 24717-708 | | SERIAL NO. 09/960,623 | | |
| | | | APPLICANT: Omar C. Baldonado et al. | | | | |
| | | | FILING DATE 09/20/2001 | | GROUP 2663 | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER'S INITIALS | PATENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE | |
| | 5,563,875 | 10/08/96 | Hefel et al. | 370 | 15 | | |
| | 5,668,800 | 09/16/97 | Stevenson | 370 | 248 | | |
| | 5,793,976 | 08/11/98 | Chen et al. | 395 | 200.54 | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| EXAMINER'S INITIALS | PATENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | YES | NO |
| | EP 0 942 560 | 09/15/99 | Europe | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | EP 0 788 267 | 08/06/97 | Europe | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | EP 0528 075 | 02/24/93 | Europe | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
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| EXAMINER | | | | DATE CONSIDERED | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.